

S.T.A.R : Statistical Tracking Analysis Report

*A Detailed Summary Report of Statistical Information
Organized to Allow for Easier Analysis of Key
Performance Areas Across All Categories Vital to the
Operations of the Connecticut Department of Correction.*

2008 Innovations Awards Program
APPLICATION

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ID # (assigned by CSG): 08-E-03CT

Please provide the following information, adding space as necessary:

State: Connecticut

Assign Program Category (applicant): Public Safety/Corrections (Use list at end of application)

1. Program Name: STAR: Statistical Tracking Analysis Report.
2. Administering Agency: State of Connecticut, Department of Correction
3. Contact Person (Name and Title): Brian K. Murphy, Deputy Commissioner
4. Address: Department of Correction, 24 Wolcott Hill Road, Wethersfield, CT 06109
5. Telephone Number: 860-692-7486
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7. E-mail Address: BrianK.Murphy@po.state.ct.us
8. Web site Address: www.ct.gov/doc
9. Please provide a two-sentence description of the program: **The Statistical Tracking Analysis Report is a performance based data collection tool that assists the department in measuring key performance indicators utilized to determine the performance of our correctional institutions. This report allows us to analyze the data used to enhance the performance of all units within the facilities.**
10. How long has this program been operational (month and year)? Note: the program must be between 9 months and 5 years old on March 1, 2008 to be considered: **The program has been in existence since January 2006.**
11. Why was the program created? What problem[s] or issue[s] was it designed to address? **The program was needed in order to measure performance in all areas of facility operations. This program was designed to address the needs of the department to have the ability to statistically compare and to be able to identify trends at each facility.**
12. Describe the specific activities and operations of the program in chronological order: **The attached STAR Report format is completed monthly by each facility and**

entered into a centralized database which then analyzes and flags any areas of concern. On a quarterly basis, each facility uses this information to evaluate performance. Subsequently, the data is presented to the Commissioner and her Executive Staff on their tours to the facilities.

13. Why is the program a new and creative approach or method? **Allowed the Department to analyze vital areas of performance and involves all units of the facility to measure and analyze performance measures. As a result of implementation of this tracking report, the agency now has the capability to instantly report on our performance based areas, which are vital in determining our facilities' performance measures. In addition, it increases the staff's awareness of facility performance, and improves accountability.**

14. What were the program's start-up costs? (Provide details about specific purchases for this program, staffing needs and other financial expenditures, as well as existing materials, technology and staff already in place.) **The STAR program did not incur any initial expense to implement the program. Existing staff was utilized to train, develop and execute the program. The facilities utilize existing staff to provide the data entry on a monthly basis.**

15. What are the program's annual operational costs? **There are no operational costs incurred as existing staff is utilized to enter data and run the report.**

16. How is the program funded? **Since existing staff is utilized, no additional funding needed.**

17. Did this program require the passage of legislation, executive order or regulations? If YES, please indicate the citation number. **No.**

18. What equipment, technology and software are used to operate and administer this program? **Access 97 software is utilized within a Windows XP Professional operating system. Communication between sites and synchronization of data is conducted on a Novell network.**

19. To the best of your knowledge, did this program originate in your state? If YES, please indicate the innovator's name, present address, telephone number and e-mail address. **Department of Correction STAR report is based on the New York Police Department's computerized statistic program or "COMSTAT". From this original concept, our department has developed our current STAR report, which is unique to our field. District Administrator Mark Strange and Captain Jim Oien are responsible for adapting and developing the report currently in use.**

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20. Are you aware of similar programs in other states? If YES, which ones and how does this program differ? **Yes. New York Police Departments utilized the computerized statistical program or "COMSTAT" to develop crime control strategies. Our program is specific to the field of corrections as it is utilized to measure key performance-based areas.**

21. Has the program been fully implemented? If NO, what actions remain to be taken? **The program is fully implemented.**

22. Briefly evaluate (pro and con) the program's effectiveness in addressing the defined problem[s] or issue[s]. Provide tangible examples. **The quarterly STAR meeting has enabled the District Administrators in conjunction with the Deputy Commissioner of Operations the ability to obtain a snapshot of each facility's performance-based areas as well as areas that need improvement. This allows the Commissioner's Office, District Administrators, and Wardens to develop strategies to target those areas of concern. For example, the quarterly review determined that there was a rise in inmate on inmate assaults at a specific facility. A review is then conducted based on this information to determine what may have been the cause of the rise in assaults. A specific plan was then developed to address this area.**

23. How has the program grown and/or changed since its inception? **The STAR program has significantly grown since its inception as a simple, excel spreadsheet to a network database system.**

24. What limitations or obstacles might other states expect to encounter if they attempt to adopt this program? **Data gathering and technological systems are the largest obstacles to the implementation of this program. In addition, determining what data is worth retaining and how to react to, and analyze that data.**

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Facility **Northern CI**

Month: _____

CATEGORY	TOTAL	1st Shift	2nd Shift	3rd Shift	Weekday	Weekend
ESCAPES						
Attempted Escapes	Total					
a.) Facility						
b.) Custody						
Escapes	Total					
a.) Facility						
b.) Custody						
Hold Overs						
Inadvertent Releases						
CONTRABAND						
a.) Drugs	Total					
b.) Weapons	Total					
FORCE UTILIZED						
Use of Force - Incidents						
Successful Verbal Intervention*6						
Use of Chemical Agents						
CET Team Deployed						
* Pt. Restraints - *1						
Number of Forced Moves *2						
Incident Data						
Class 1 Incidents						
Class 2 Incidents						
Class 3 Incidents						
Disturbances *3						
Destruction of State Property						
Fire						
Inmate Medical Referrals *4						
DISCIPLINES						
a.) Class A						
b.) Class B						
b.) Class C						
Informal Dispositions						
STAFF DATA						
Staff Assault Level I:						
Staff Assault Level II:						
Sexual Assault with weapon						
Sexual Assault without weapon						
Fluid Throws						
Staff Deaths: Total						
a.) Violence						
b.) Injury						
c.) Illness						
INMATE DATA						
Inmate Assaults:	Total	1st Shift	2nd Shift	3rd Shift	Weekday	Weekend
a.) With Weapon						
b.) Without Weapon						
Inmate Fights	Total					
a.) With Weapon						
b.) Without Weapon						
SRG Incidents: Assaults/Fights						

Statistical Tracking Analysis Report

Northern CI

CATEGORY	TOTAL	1st Shift	2nd Shift	3rd Shift	Weekday	Weekend
PRISON RAPE ELIMINATION ACT DATA (unless otherwise noted these are Inmate on Inmate)						
Alleged Rape						
NonConsensual sexual acts *5						
Abusive sexual contacts						
Staff sexual misconduct on Inmate						
Staff sexual harassment of Inmate						
INMATE DEATHS						
a.) Violence						
b.) Suicide						
c.) Illness						
d.) Natural Causes						
e.) Overdose						
Total						
SUICIDE DEATHS						
a.) Body Trauma						
b.) Drowning						
c.) Laceration						
d.) Asphyxiation						
e.) Overdose						
Total						
ATTEMPTED SUICIDE						
a.) Body Trauma						
b.) Drowning						
c.) Laceration						
d.) Asphyxiation						
e.) Overdose						
Total						
PC / High Security						
	Month Total	Requests	Placements	Denials	Removals	
Protective Custody						
High Security						
SRG SRGTM						
	Month Total	Members	SRGTM			
SRG Designations						
Security Risk Groups						
SRG Renunciations						
Restrictive Housing Placement						
		Placements	Removals	End of Month		
Administrative Detention						
Administrative Segregation						
Punitive Segregation						
Transfer Detention						
Close Custody SRGTM						
Close Custody CD						
Inmate Drug Testing						
	Tests done	Positive Found	To be confirmed	Confirmed	Guilty Plea	
Tests						
STAFF ISSUES						
	Month Total	1st Shift	2nd Shift	3rd Shift	Weekday	Weekend
Affirmative Action Complaints						
Work Place Violence Issues						
Sexual Harassment Complaints						
Staff Grievances						

Statistical Tracking Analysis Report

Northern CI

INMATE GRIEVANCE DATA

Level 1 Line Grievances by Category

Category	Received	Rejected	Denied	Upheld	Compromised	Withdrawn
Sentence Computation						
DOC Policy/Procedure						
Unit Policy/Procedure						
Inmate Grievance Procedure						
Personal Safety						
Physical Conditions						
Recreation/Library						
Personal Property						
Inmate Accounts						
Telephone Privilege						
Records						
Media Access						
Food Services						
Clothing						
Mail						
Visiting						
Inmate Conduct						
Staff Conduct						
Employment						
Legal Access						
Commissary						
Religion						
Other						
Non Grievable Issues						
Confidentiality						
Inmate Line Grievances by Level		Rejected	Denied	Upheld	Compromised	
Total Level 1 from above	Received					
Level 2						
Level 3						
Line Grievance Appeals Received						
Inmate Medical Grievances by Level		Rejected	Denied	Upheld	Compromised	
Level 1	Received					
Level 2						
Level 3						
Medical Grievance Appeals Received						
Inmate Furlough/Community Release Information		Re-Entry	Home Visit	Emergency	TS	HH
Number of inmates Released this month						
Total Inmates Returning from any type of Furlough with a new offense (excluding parole)						
Total Inmates Returning from any type of Furlough due to an escape (excluding parole)						
Total Inmates Returning from any type of Furlough for any other reason (excluding parole)						

*1. Chair, bed or board etc.

*2. Routine transportation of offenders is not considered to be a forced move

*3. Any incident that involves four or more offenders. Includes gang fights, organized multiple hunger strikes, work stoppages, hostage situations, major fires, or other large scale incidents.

*4. Any referral in or out of the facility to a Physician to include Mental Health.

*5. Contact or penetration of the genital or anal opening without consent.

*6. A verbal intervention that results in the de-escalation of the incident and averts the Use of Force, Chemical Agent, or CET Team.